

# AN INTRODUCTION TO GALOIS MODULE STRUCTURE

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Omaha, May 2019

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is a basis for  $N$  as a  $K$ -vector space.

Thus every  $\beta \in N$  can be written in a unique way as

$$\beta = \sum_{\sigma} c_{\sigma} \sigma(\alpha), \quad c_{\sigma} \in K.$$

## Reinterpreting the Normal Basis Theorem

Write  $G = \text{Gal}(N/K)$  and let

$$K[G] = \left\{ \sum_{\sigma \in G} c_{\sigma} \sigma : c_{\sigma} \in K \right\},$$

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$$\left( \sum_{\sigma} c_{\sigma} \sigma \right) \left( \sum_{\tau} d_{\tau} \tau \right) = \sum_{\sigma, \tau} c_{\sigma} d_{\tau} \sigma \tau = \sum_{\rho} \left( \sum_{\sigma} c_{\sigma} d_{\sigma^{-1} \rho} \right) \rho.$$



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$$\left( \sum_{\sigma} c_{\sigma} \sigma \right) \cdot \beta = \sum_{\sigma} c_{\sigma} \sigma(\beta) \in N.$$

Thus  $N$  becomes a module over the ring  $K[G]$ .

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[Note that, unlike vector spaces over a field, modules over a ring do not always have a basis, i.e. are not always free. Thus the Normal Basis Theorem gives non-trivial information about the structure of  $N$  as a  $K[G]$ -module.]

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The main question of Galois module structure is:

**Can we find an analogue of the Normal Basis Theorem at the level of integers?**

# Rings of algebraic integers

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$$O_N = \{\alpha \in N : \alpha \text{ is the root of some monic } f(X) \in \mathbb{Z}[X]\}.$$

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We call  $O_N$  the **ring of algebraic integers** of  $N$ .

## Example: Quadratic Fields

Let  $N = \mathbb{Q}(\sqrt{d})$  with  $d$  a squarefree integer.

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Any element  $\alpha = u + v\sqrt{d}$  of  $N$  with  $u, v \in \mathbb{Z}$  will be an algebraic integer:  
it is a root of

$$\left(X - (u + v\sqrt{d})\right) \left(X - (u - v\sqrt{d})\right) = X^2 - 2uX + (u^2 + v^2d) \in \mathbb{Z}[X].$$

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However, these might not be all the algebraic integers:

$\alpha = \frac{1}{2}(1 + \sqrt{d})$  is a root of

$$\left(X - \frac{1}{2}(1 + \sqrt{d})\right) \left(X - \frac{1}{2}(1 - \sqrt{d})\right) = X^2 - X + \frac{1}{4}(1 - d)$$

so

$$\alpha \in \mathcal{O}_N \Leftrightarrow d \equiv 1 \pmod{4}.$$



## Theorem

Let  $d$  be a squarefree integer and  $N = \mathbb{Q}(\sqrt{d})$ . Then

$$O_N = \begin{cases} \mathbb{Z}[\sqrt{d}] = \{u + v\sqrt{d} : u, v \in \mathbb{Z}\} & \text{if } d \equiv 2, 3 \pmod{4}; \\ \mathbb{Z}\left[\frac{1+\sqrt{d}}{2}\right] = \left\{u + v\left(\frac{1+\sqrt{d}}{2}\right) : u, v \in \mathbb{Z}\right\} & \text{if } d \equiv 1 \pmod{4}. \end{cases}$$

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$$\text{Notice that } \text{Tr}_{N/\mathbb{Q}}(O_N) = \begin{cases} 2\mathbb{Z} & \text{if } d \equiv 2, 3 \pmod{4}, \\ \mathbb{Z} & \text{if } d \equiv 1 \pmod{4}. \end{cases}$$

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Let  $N = \mathbb{Q}(\zeta_m)$  with  $\zeta_m = e^{2\pi i/m}$ , a primitive  $m$ th root of unity. Then

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We can now ask the question:

If  $N/\mathbb{Q}$  is a Galois extension, does it have a **normal integral basis**, i.e. does there exist  $\alpha \in O_N$  such that each  $\beta \in O_N$  can be written uniquely as  $\beta = \lambda \cdot \alpha$  for some  $\lambda$  in the **integral group ring**  $\mathbb{Z}[G]$ ?

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## Example (Cyclotomic fields with prime conductor)

If  $N = \mathbb{Q}(\zeta_p)$  with  $p$  prime, then  $G = \{\sigma_1, \sigma_2, \dots, \sigma_{p-1}\} \cong \mathbb{F}_p^\times$ , where  $\sigma_a(\zeta_p) = \zeta_p^a$ , and we have  $O_N = \mathbb{Z}[G] \cdot \zeta_p$ .

## Tame and wild extensions

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The key idea is that  $N \subseteq \mathbb{Q}(\zeta_m)$  for some squarefree  $m$ .



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### Theorem (Leopoldt, 1959)

*If  $N$  is a wild Galois extension of  $\mathbb{Q}$  whose Galois group is abelian, then  $O_N$  is a free module over  $\mathcal{A}(N/\mathbb{Q})$ .*

## Wild abelian extensions of $\mathbb{Q}$

If  $N/\mathbb{Q}$  is a wild abelian extension, then  $O_N$  cannot be a free  $\mathbb{Z}[G]$ -module.

But we could try replacing  $\mathbb{Z}[G]$  with a bigger ring.

The **associated order** of  $O_N$  is

$$\mathcal{A}(N/\mathbb{Q}) = \{\lambda \in \mathbb{Q}[G] : \lambda \cdot O_N \subseteq O_N\}.$$

Then  $\mathcal{A}(N/\mathbb{Q})$  is a subring of  $\mathbb{Q}[G]$  containing  $\mathbb{Z}[G]$ , and  $O_N$  is an  $\mathcal{A}(N/\mathbb{Q})$ -module.

Also

$$\mathcal{A}(N/\mathbb{Q}) = \mathbb{Z}[G] \Leftrightarrow N/\mathbb{Q} \text{ is tame.}$$

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The key idea is that  $N \subseteq \mathbb{Q}(\zeta_m)$  for some  $m$ .

## Example (Wild quadratic extensions)

If  $N = \mathbb{Q}(\sqrt{d})$  with  $d \equiv 2, 3 \pmod{4}$  then

$$\mathcal{A}(N/\mathbb{Q}) = \mathbb{Z} \cdot \left( \frac{1 + \sigma}{2} \right) + \mathbb{Z} \cdot \left( \frac{1 - \sigma}{2} \right).$$



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We would like to generalise in two directions:

- non-abelian Galois groups;
- base fields  $K \supset \mathbb{Q}$ ;

(both for tame and wild extensions).

## A tame non-abelian case

For tame Galois extensions  $N/\mathbb{Q}$  with  $\text{Gal}(N/\mathbb{Q}) \cong Q_8$  (the quaternion group of order 8), calculations of Martinet (1971) showed that there is a normal integral basis in some cases, but not in others.

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So being tame does not guarantee the existence of a normal integral basis.

**Question:** What determines which tame  $Q_8$ -extensions do have a normal integral basis?

## Digression: irreducible characters and $L$ -functions

Attached to a finite group  $G$  are certain functions  $\chi : G \rightarrow \mathbb{C}$  called **irreducible characters**. Some of these may be **symplectic**.

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The group  $Q_8$  has just one irreducible symplectic character.

If  $N/K$  is a Galois extension of number fields with Galois group  $G$ , then for each irreducible character  $\chi$  of  $G$  there is a complex function  $L(s, N/K, \chi)$  called the Artin  $L$ -function.

The **simplest possible example** is when  $N = K = \mathbb{Q}$ , so  $G = \{e\}$ , with just one irreducible character,  $\chi_0$ .

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If  $\chi$  is an irreducible symplectic character then  $W(N/K, \chi) = \pm 1$ .

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**Theorem (Conjectured Serre 1971; proved Fröhlich, 1972)**

*Let  $N/\mathbb{Q}$  be a tame Galois extension with Galois group  $Q_8$ , and let  $\chi$  be the irreducible symplectic character of  $Q_8$ . Then*

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This shows an unexpected connection between algebraic information (whether  $N$  has a normal integral basis) and analytic information (root numbers of  $L$ -functions).

To fit this into a general theory, we need to understand the significance of tameness.

## Locally free $\mathbb{Z}[G]$ -modules

For each prime number  $p$ , we define the **localisation** of  $\mathbb{Z}$  at  $p$ :

$$\mathbb{Z}_{(p)} = \left\{ \frac{a}{b} : a, b \in \mathbb{Z}, p \nmid b \right\}.$$

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(We could also take completions and work with the  $p$ -adic integers  $\mathbb{Z}_p$  instead of  $\mathbb{Z}_{(p)}$ . The field of fractions of  $\mathbb{Z}_p$  is the the field  $\mathbb{Q}_p$  of  $p$ -adic numbers.)

For a Galois extension  $N/\mathbb{Q}$  with  $\text{Gal}(N/\mathbb{Q}) = G$ , we know that  $O_N$  is a  $\mathbb{Z}[G]$ -module. We can extend scalars from  $\mathbb{Z}$  to  $\mathbb{Z}_{(p)}$ , so that  $O_{N,(p)}$  is a  $\mathbb{Z}_{(p)}[G]$ -module.

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We now consider tame Galois extensions  $N/K$  (with base field  $K \supseteq \mathbb{Q}$ ), and consider  $O_N$  as a locally free  $\mathbb{Z}[G]$ -module of rank  $[K : \mathbb{Q}]$ .

# The main theorem of tame Galois module structure

## Theorem (Taylor, 1981)

*For a tame Galois extension  $N/K$  of number fields, the class of  $O_N$  in  $\text{Cl}(\mathbb{Z}[G])$  is determined by the  $W(N/K, \chi)$  for the irreducible symplectic characters  $\chi$  of  $G$ . In particular, if  $G$  has no such characters, then  $O_N$  is a free  $\mathbb{Z}[G]$ -module.*

## Relative tame Galois Module Structure:

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In general, we do not even know it is non-empty.

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We just consider the simplest interesting case:  $G \cong C_p$ .

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Similarly,  $O_N$  has a unique maximal ideal  $\pi_N O_N$ , and we have a valuation  $v_N : N \rightarrow \mathbb{Z} \cup \{\infty\}$ .

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It turns out that the possible values for  $b$  are:

$$1 \leq b \leq \frac{ep}{p-1} \text{ where } e = v_{\mathbb{Q}_p}(\pi_K),$$

$$p \nmid b \text{ unless } b = ep/(p-1).$$

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so that

$$-pr + bj + i \geq 0 \text{ if } i \equiv 0, b, 2b, \dots, (p - 1 - j)b \pmod{p}.$$

Using this we can show that  $\mathcal{A}(N/K)$  has a basis of the form

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where the  $r(j)$  can be calculated, and we can check when  $O_N$  is free over  $\mathcal{A}(N/K)$ .

### **Theorem (Bertrandias and Ferton, 1972)**

*Let  $b = pb_1 + b_0$  with  $1 \leq b_0 \leq p - 1$ . Then*

$$O_N \text{ is free over } \mathcal{A}(N/K) \Leftrightarrow b_0 \text{ divides } p - 1.$$

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Now suppose  $\text{Gal}(N/K) = \langle \sigma, \tau \rangle \cong C_p \times C_p$  with  $N/K$  totally ramified.

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This is the starting point for the theory of **Galois Scaffolds**.