

The Yang-Baxter Equation and Hopf-Galois Theory via Skew Braces

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Braces and Hopf-Galois Theory

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June 18, 2019

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Classification of

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and Skew Braces
of order p^3**

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is said to satisfy the **Yang-Baxter equation (YBE)** if

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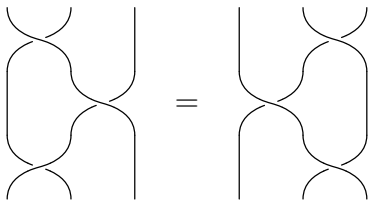
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holds. The solution (X, r) is called **non-degenerate** if $f_x, g_x \in \text{Perm}(X)$ for all $x \in X$ and **involution** if $r^2 = \text{id}$.

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- 3 For any group structure on X the map

$$r(x, y) = (y, yxy^{-1}).$$

- 4 If $(R, +, \cdot)$ is a radical ring with circle operation $a \circ b = a + ab + b$ then $r(x, y) = (xy + y, (xy + y)^{\circ-1} \circ x \circ y)$.

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Interesting for ring theorists: $0 = 1$.

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Any group (B, \oplus) with

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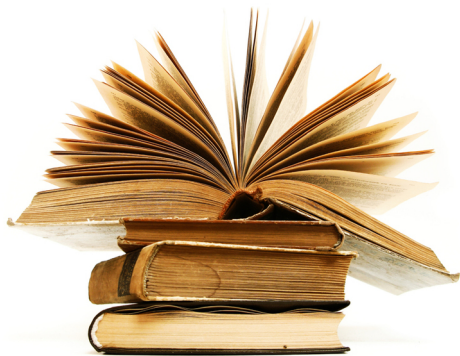
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Skew Braces: History

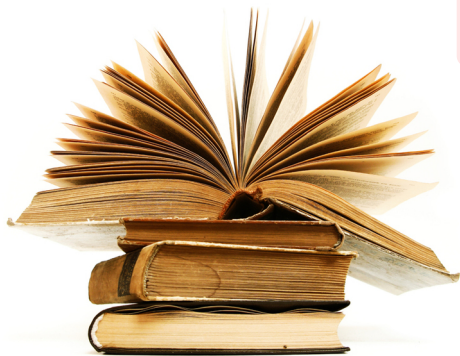
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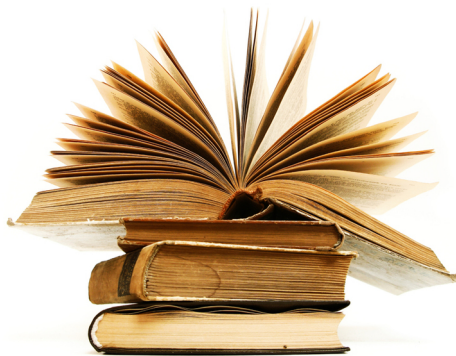


Skew Braces: History

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They provide *non-degenerate* set-theoretic solutions of the Yang-Baxter equation.

Their connection to ring theory and Hopf-Galois structures was studied by Bachiller, Byott, Smoktunowicz, and Vendramin.



Theorem (L. Guarnieri and L. Vendramin)

Let (B, \oplus, \odot) be a skew brace. Then the map

$$\begin{aligned} r_B : B \times B &\longrightarrow B \times B \\ (a, b) &\longmapsto (\ominus a \oplus (a \odot b), (\ominus a \oplus (a \odot b))^{-1} \odot a \odot b) \end{aligned}$$

is a non-degenerate set-theoretic solution of the YBE, which is involutive if and only if (B, \oplus, \odot) is a brace.

- Given a skew brace (B, \oplus, \odot) define

$$a \otimes b = \ominus a \oplus (a \odot b) \ominus b.$$

Cedo, Konovalov, Vendramin, Smoktunowicz (2018) study (B, \oplus, \otimes) using ring theoretic methods.

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- However, if B is a **two-sided brace**, then (B, \oplus, \otimes) is a **radical ring**, Rump (2007).
- Conversely, if (B, \oplus, \otimes) is a **radical ring**, then (B, \oplus, \circ) , where

$$a \circ b = a \oplus a \otimes b \oplus b$$

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Studying **rings of integers** of extensions of **number fields**.

Hopf-Galois Structures: Motivations

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- Then \mathcal{O}_L is also a module over $\mathcal{O}_K[G]$.
- Can \mathcal{O}_L be free over $\mathcal{O}_K[G]$?

... No in general.

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A **Hopf-Galois structure** on L/K consists of a finite dimensional cocommutative K -Hopf algebra H together with an action on L such that the R -module homomorphism

$$\begin{aligned} j : L \otimes_K H &\longrightarrow \text{End}_K(L) \\ s \otimes h &\longmapsto (t \longmapsto sh(t)) \text{ for } s, t \in L, h \in H \end{aligned}$$

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The **group algebra** $K[G]$ endows L/K with the classical Hopf-Galois structure.

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- How to find Hopf-Galois structures?

Hopf-Galois Structures: A Theorem of Greither and Pareigis

Theorem (Greither and Pareigis)

Hopf-Galois structures on L/K correspond bijectively to regular subgroups of $\text{Perm}(G)$ which are normalised by the image of G , as left translations, inside $\text{Perm}(G)$.

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Every K -Hopf algebra which endows L/K with a Hopf-Galois structure is of the form $L[N]^G$ for some regular subgroup $N \subseteq \text{Perm}(G)$ normalised by the left translations.

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Theorem (Byott 1996)

Let G and N be finite groups. There exists a bijection between the sets

$$\mathcal{N} = \{\alpha : N \hookrightarrow \text{Perm}(G) \mid \alpha(N) \text{ is regular and normalised by } G\}$$

$$\mathcal{G} = \{\beta : G \hookrightarrow \text{Hol}(N) \mid \beta(G) \text{ is regular}\},$$

where $\text{Hol}(N) = N \rtimes \text{Aut}(N)$.

Enumerating Hopf-Galois Structures (Byott)

Using Byott's translation one can show that

$$\begin{aligned} \# \text{HGS on } L/K \text{ of type } N = \\ \frac{|\text{Aut}(G)|}{|\text{Aut}(N)|} |\{H \subseteq \text{Hol}(N) \text{ regular with } H \cong G\}|. \end{aligned}$$

Hopf-Galois Structures: Some Results

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- ◆ Crespo and Salguero extensions of degree $C_{p^n} \rtimes C_D$, Samways cyclic extensions, and Tsang S_n -extensions.

Hopf-Galois Structures of Order p^3 for $p > 3$

Theorem 1 [cf. NZ18, Jan 2018]

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C_{p^3}	p^2	-	-	-	-
$C_{p^2} \times C_p$	-	$(2p-1)p^2$	-	-	$(2p-1)(p-1)p^2$
C_p^3	-	-	$(p^4 + p^3 - 1)p^2$	$(p^3 - 1)(p^2 + p - 1)p^2$	-
$C_p^2 \rtimes C_p$	-	-	$(p^2 + p - 1)p^2$	$(2p^3 - 3p + 1)p^2$	-
$C_{p^2} \rtimes C_p$	-	$(2p-1)p^2$	-	-	$(2p-1)(p-1)p^2$

Column $C_p^2 \rtimes C_p$ J. Algebra [cf. NZ19, Apr 2019]. Cases $p = 2, 3$ are also treated in PhD thesis.

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C_{p^3}	p^2	-	-	-	-
$C_{p^2} \times C_p$	-	$(2p-1)p^2$	-	-	$(2p-1)(p-1)p^2$
C_p^3	-	-	$(p^4 + p^3 - 1)p^2$	$(p^3 - 1)(p^2 + p - 1)p^2$	-
$C_p^2 \rtimes C_p$	-	-	$(p^2 + p - 1)p^2$	$(2p^3 - 3p + 1)p^2$	-
$C_{p^2} \rtimes C_p$	-	$(2p-1)p^2$	-	-	$(2p-1)(p-1)p^2$

Column $C_p^2 \rtimes C_p$ J. Algebra [cf. NZ19, Apr 2019]. Cases $p = 2, 3$ are also treated in PhD thesis.

Remark

Note $p^2 \mid e(G, N)$ and

$$|\text{Aut}(N)| e(G, N) = |\text{Aut}(G)| e(N, G).$$

Denote by

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G	$e(G)$	$\bar{e}(G)$
C_{p^3}	p^2	p^2
$C_{p^2} \times C_p$	$(2p-1)p^3$	$2(2p-1)p^2$
C_p^3	$(p^4 + 2p^3 - p - 1)p^3$	$(p^4 + p^3 + p^2 + p - 2)p^2$
$C_p^2 \rtimes C_p$	$(2p^2 + p - 2)p^3$	$(p^5 + p^4 + p^3 - p^2 - 4p + 2)p^2$
$C_{p^2} \rtimes C_p$	$(2p-1)p^3$	$2(2p-1)(p-1)p^2$
Total		$(p^5 + 2p^4 + 2p^3 + 4p^2 - 5p + 1)p^2$

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$$\left\{ \begin{array}{l} \text{isomorphism classes} \\ \text{of } G\text{-skew braces,} \\ \text{i.e., with } (B, \odot) \cong G \end{array} \right\} \overset{\text{bij}}{\longleftrightarrow} \left\{ \begin{array}{l} \text{classes of certain regular} \\ \text{subgroups of } \text{Perm}(G) \text{ under} \\ \text{conjugation by elements of} \\ \text{Aut}(G) \end{array} \right\}$$

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- N is normalised by the left translations implies that (B, \oplus, \odot) is a skew brace of type N corresponding to H .

Skew Braces and Hopf-Galois Structures Correspondence

$$\left\{ \begin{array}{l} \text{isomorphism classes} \\ \text{of } G\text{-skew braces,} \\ \text{i.e., with } (B, \odot) \cong G \end{array} \right\} \overset{\text{bij}}{\longleftrightarrow} \left\{ \begin{array}{l} \text{classes of Hopf-Galois structures} \\ \text{on } L/K \text{ under } L[N_1]^G \sim L[N_2]^G \\ \text{if } N_2 = \alpha N_1 \alpha^{-1} \text{ for some} \\ \alpha \in \text{Aut}(G) \end{array} \right\}$$

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i.e., if (B, \oplus, \odot) is a skew brace of type, then we get the following Hopf-Galois structures on L/K

$$\{L[\alpha (\text{Im } d) \alpha^{-1}]^{(B, \odot)} \mid \alpha \in \text{Aut}(B, \odot)\}.$$

Automorphism Groups [cf. NZ19, Apr 2019, Corollary 2.3]

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using this observation we find

$$\text{Aut}_{\mathcal{B}r}(B, \oplus, \odot) \cong \{ \alpha \in \text{Aut}(B, \odot) \mid \alpha(\text{Im } d) \alpha^{-1} \subseteq \text{Im } d \}.$$

Classification of Hopf-Galois Structures and Skew Braces: Theoretical

Classifying Skew Braces

To find the non-isomorphic G -skew braces of type N classify elements of the set

$$\mathcal{S}(G, N) = \{H \subseteq \text{Perm}(G) \mid H \text{ is regular, NLT, } H \cong N\},$$

and extract a maximal subset whose elements are not conjugate by any element of $\text{Aut}(G)$.

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Hopf-Galois Structures Parametrised by Skew Braces [cf. NZ19, Corollary 2.4]

Denote by B_G^N the isomorphism class of a G -skew brace of type N given by (B, \oplus, \odot) .

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Hopf-Galois Structures Parametrised by Skew Braces [cf. NZ19, Corollary 2.4]

Denote by B_G^N the isomorphism class of a G -skew brace of type N given by (B, \oplus, \odot) . Then the number of Hopf-Galois structures on L/K of type N is given by

$$e(G, N) = \sum_{B_G^N} \frac{|\text{Aut}(G)|}{|\text{Aut}_{\mathcal{B}r}(B_G^N)|}.$$

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Again we would like to work with **holomorphs** instead of the **permutation groups**.

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Again we would like to work with **holomorphs** instead of the **permutation groups**.

For a skew brace (B, \oplus, \odot) consider the action of (B, \odot) on (B, \oplus) by $(a, b) \mapsto a \odot b$. This yields to a map

$$\begin{aligned} m : (B, \odot) &\longrightarrow \text{Hol}(B, \oplus) \\ a &\longmapsto (m_a : b \longmapsto a \odot b) \end{aligned}$$

which is a regular embedding.

Skew Braces and Regular Subgroups of Holomorph Correspondence

Bachiller, Byott, Vendramin:

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Another Characterisation of Automorphism Group [cf. NZ18, Jan 2018, Theorem 2.3.8, p 29]

We find

$$\text{Aut}_{\mathcal{B}r}(B, \oplus, \odot) \cong \{ \alpha \in \text{Aut}(B, \oplus) \mid \alpha(\text{Im } m) \alpha^{-1} \subseteq \text{Im } m \}.$$

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- ◆ Timur Nasybullov (2018), **two-sided skew braces**.
- ◆ Koch and Truman (2019), **opposite braces and isomorphism correspondence**.

Skew Braces of Order p^3 for $p > 3$

Theorem 2 [cf. NZ18, Jan 2018]

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$\tilde{e}(G, N)$	C_{p^3}	$C_{p^2} \times C_p$	C_p^3	$C_p^2 \rtimes C_p$	$C_{p^2} \rtimes C_p$
C_{p^3}	3	-	-	-	-
$C_{p^2} \times C_p$	-	9	-	-	$4p + 1$
C_p^3	-	-	5	$2p + 1$	-
$C_p^2 \rtimes C_p$	-	-	$2p + 1$	$2p^2 - p + 3$	-
$C_{p^2} \rtimes C_p$	-	$4p + 1$	-	-	$4p^2 - 3p - 1$

Column $C_p^2 \rtimes C_p$ and automorphism groups [cf. NZ19, Apr 2019].

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Remark

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Then we have

G	$\tilde{e}(G)$
C_{p^3}	3
$C_{p^2} \times C_p$	$4p + 10$
C_p^3	$2p + 6$
$C_p^2 \rtimes C_p$	$2p^2 + p + 4$
$C_{p^2} \rtimes C_p$	$4p^2 + p$
Total	$6p^2 + 8p + 23$

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$$\text{Hol}(N) \longrightarrow \text{Aut}(N).$$

- To find **skew braces** study conjugation formula by elements of $\text{Aut}(N)$ inside $\text{Hol}(N)$.

Strategy for the Proofs

- Organise the regular subgroups of $H \subset \text{Hol}(N)$ according to the size of their image under the projection

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- Suppose $|\Theta(H)| = m$, where m divides $|N|$, we take a subgroup of order m of $\text{Aut}(N)$ say

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general elements $v_1, \dots, v_s \in N$.

- Consider subgroups of $\text{Hol}(N)$ of the form

$$H = \langle \eta_1, \dots, \eta_r, v_1\alpha_1, \dots, v_s\alpha_s \rangle \subseteq \text{Hol}(N).$$

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- For H to act freely on N it is necessary that for every word $W(\alpha_1, \dots, \alpha_s) \neq 1$ in H_2 we require

$$W(u_1(v_1\alpha_1)w_1, \dots, u_s(v_s\alpha_s)w_s)W(\alpha_1, \dots, \alpha_s)^{-1} \notin H_1$$

for all $u_i, w_i \in H_1$.

Hopf-Galois Structures of Heisenberg Type

Heisenberg Group

$$C_p^2 \rtimes C_p = \langle \rho, \sigma, \tau \mid \rho^p = \sigma^p = \tau^p = 1, \sigma\rho = \rho\sigma, \tau\rho = \rho\tau, \tau\sigma = \rho\sigma\tau \rangle$$

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Let us denote by

$$\alpha_1 \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \alpha_2 \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix}, \quad \alpha_3 \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

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We have $\langle \alpha_1, \alpha_2, \alpha_3 \rangle \cong C_p^2 \rtimes C_p$ is one of the $p + 1$ Sylow p -subgroups of

$$\text{Aut}(C_p^2 \rtimes C_p) \cong C_p^2 \rtimes \text{GL}_2(\mathbb{F}_p).$$

Hopf-Galois Structures of Heisenberg Type (p)

Nonabelian:

$$\langle \rho, \tau, \sigma \alpha_1^b \rangle, \langle \rho, \tau, \sigma \alpha_1^a \alpha_2^b \rangle, \langle \rho, \tau, \sigma \alpha_1^a \alpha_3^c \rangle, \langle \rho, \tau, \sigma \alpha_1^a \alpha_2^b \alpha_3^c \rangle$$

for $a = 0, \dots, p-1$, $b, c = 1, \dots, p-1$, with $c \neq 1$,

$$\langle \rho, \sigma \tau^d, \tau \alpha_1^b \rangle, \langle \rho, \sigma \tau^d, \tau \alpha_1^a \alpha_3^c \rangle$$

for $a, d = 0, \dots, p-1$, $b, c = 1, \dots, p-1$ with $b \neq p-1$, $a+cd+1 \not\equiv 0 \pmod{p}$.

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Skew Braces:

$$\langle \rho, \tau, \sigma \alpha_3 \rangle, \langle \rho, \tau, \sigma \alpha_2 \alpha_3 \rangle \cong C_p^3, \langle \rho, \tau, \sigma \alpha_1 \rangle, \langle \rho, \tau, \sigma \alpha_2 \rangle, \\ \langle \rho, \tau, \sigma \alpha_3^c \rangle, \langle \rho, \tau, \sigma \alpha_2 \alpha_3^c \rangle \cong M_1 \text{ for } c = 2, \dots, p-1.$$

Hopf-Galois Structures of Heisenberg Type (p^2)

Nonabelian:

$\langle \rho, u\alpha_1, v\alpha_3 \rangle$ for $A = \begin{pmatrix} u_2 & v_2 \\ u_3 & v_3 \end{pmatrix} \in \text{GL}_2(\mathbb{F}_p)$ with $v_2 - u_3 - \det(A) \not\equiv 0 \pmod{p}$,

$\langle \rho, \tau^{x_3}\alpha_1, y\alpha_2\alpha_3^a \rangle$ for $a, y_3 = 0, \dots, p-1$, $y_2, x_3 = 1, \dots, p-1$

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Skew braces:

$\langle \rho, \sigma\alpha_1, \sigma^{u_3}\tau^{u_4}\alpha_3 \rangle, \langle \rho, \tau^{-u_5}\alpha_1, \sigma^{u_5}\alpha_3 \rangle, \langle \rho, \tau^{x_3}\alpha_1, \sigma\alpha_2\alpha_3^a \rangle \cong M_1$,
 $\langle \rho, \sigma\alpha_1, \sigma^{u_2}\tau^{u_2}\alpha_3 \rangle, \langle \rho, \tau^{-2}\alpha_1, \sigma^2\alpha_3 \rangle, \left\langle \rho, \tau^{x_3}\alpha_1, \sigma\alpha_2\alpha_3^{(1+x_3)x_3^{-1}} \right\rangle \cong C_p^3$ for
 $a, u_3 = 0, \dots, p-1$, $u_2, u_4, u_5, x_3 = 1, \dots, p-1$
with $u_5 \neq 2$, $u_3 - u_4$, $ax_3 - (1+x_3) \not\equiv 0 \pmod{p}$.

Hopf-Galois Structures of Heisenberg Type (p^3)

Abelian:

$$\langle \rho^{u_1} \tau^{-2} \alpha_1, \rho^{v_1} \tau^{1-u_1} \alpha_2, \rho^{w_1} \sigma^2 \tau^{w_3} \alpha_3 \rangle \cong M_1$$

for $u_1, v_1, w_1, w_3 = 0, \dots, p-1$ with $v_1 + \frac{1}{2}u_1(1-u_1) \not\equiv 0 \pmod{p}$,

Skew braces:

$$\langle \tau^{-2} \alpha_1, \rho^s \tau \alpha_2, \sigma^2 \tau^{t_3} \alpha_3 \rangle \cong M_1 \text{ for } t_3 = 0, 1, \quad s = 1, \delta,$$

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We also have

$$\text{Aut}_{\mathcal{B}r}(\langle \sigma \beta^{p^m} \rangle) = \langle \beta^{p^{n-m-2}} \rangle \text{ for } m = 0, \dots, n-2.$$

Skew Braces of Semi-direct Product Type

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Let P and Q be groups. Suppose $\alpha, \beta : Q \rightarrow \text{Aut}(P)$ are group homomorphisms such that $\text{Im } \beta$ is an abelian group and $[\text{Im } \alpha, \text{Im } \beta] = 1$.

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What is the relationship between $\tilde{e}(G, N)$ and $\tilde{e}(N, G)$ for N which is a general extensions of two groups?

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- 3 Extend results to study skew braces of type $(C_{p^e} \times C_{p^f}) \rtimes C_{p^g}$ for natural numbers e, f, g .
- 4 Study skew braces whose type is an extension of two abelian groups. Does the pattern

$$\tilde{e}(G, N) = \tilde{e}(N, G)$$

still hold?

Thank you for your attention!

- [NZ18] Kayvan Nejabati Zenouz. On Hopf-Galois Structures and Skew Braces of Order p^3 . *The University of Exeter, PhD Thesis, Funded by EPSRC DTG*, January 2018. <https://ore.exeter.ac.uk/repository/handle/10871/32248>.
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